



<b>7:45am</b>	<b>Breakfast &amp; Registration</b> Location: 7 <sup>th</sup> Floor, Foyer
<b>8:30am-8:35am</b>	<b>Chairman's Welcome</b> Location: 7 <sup>th</sup> Floor, Room 701
	Martin Seidel, <i>Partner</i> , <b>CADWALADER, WICKERSHAM &amp; TAFT LLP</b>
<b>8:35am-9:20am</b>	<b>Cross Border Regulatory Obstacles for US-EU Hedge Funds</b> Location: 7 <sup>th</sup> Floor, Room 701
	<ul style="list-style-type: none"> <li>• EU directives on Alternative Investment Fund Managers (AIFMD)</li> <li>• Anti-Money Laundering (AML) rules, regulation and compliance</li> <li>• How directives affect US managers actively going to market in the EU</li> <li>• Foreign Account Tax Compliance Act (FATCA)</li> </ul> <p><b>Moderated by:</b> Martin Seidel, <i>Partner</i>, <b>CADWALADER, WICKERSHAM &amp; TAFT LLP</b> <b>Panel:</b> Andrew R. Polland, <i>General Counsel &amp; CCO</i>, <b>MSDC Management, L.P.</b> Daniel Hunter, <i>Partner</i>, <b>Schulte Roth &amp; Zabel LLP</b> Richard Kando, <i>Director</i>, Global Investigations &amp; Compliance, <b>Navigant, Inc.</b></p>
<b>9:20am-10:05am</b>	<b>Due Diligence in Emerging Markets</b> Location: 7 <sup>th</sup> Floor, Room 701
	<ul style="list-style-type: none"> <li>• Dedicating resources to developing integrated due diligence methods for emerging markets</li> <li>• Investigations into commercial, regulatory and environmental factors</li> <li>• The need to comply with local regulations to ensure an investment is commercially viable</li> <li>• Overlap between the public and private sector.</li> </ul> <p>John Davis, <i>Chairman</i> FCPA &amp; International Anti-Corruption Practice Group, <b>Miller &amp; Chevalier</b></p>
<b>10:05am-10:30am</b>	<b>Coffee &amp; Networking</b> Location: 7 <sup>th</sup> Floor, Foyer
<b>10:30am-11:15am</b>	<b>Relevance of Hedge Fund Executive's Personal Legal Disputes to Investors</b> Location: 7 <sup>th</sup> Floor, Room 701
	<ul style="list-style-type: none"> <li>• Does a manager have to reveal previous or current personal litigation to investors?</li> <li>• What constitutes materially false or misleading statements under The Uniform Securities Act?</li> <li>• Is a Hedge Fund principal's character a consideration for investors?</li> </ul> <p>Kevin J. O'Connor, <i>Partner</i>, <b>Hinckley Allen</b></p>
<b>11:15am-12:00pm</b>	<b>Due Diligence &amp; the Prevention of Fund Disputes – Industry Viewpoint</b> Location: 7 <sup>th</sup> Floor, Room 701
	<ul style="list-style-type: none"> <li>• Gate provision restructuring – what lessons can be learnt?</li> <li>• Side letter best practice and disclosure</li> <li>• Suspension of redemption</li> <li>• LPA articles</li> </ul> <p><b>Moderated by:</b> David N. Brooks, <i>General Counsel</i>, <b>FORTRESS INVESTMENT GROUP LLC</b> <b>Panel:</b> Bruce Kahne, <i>General Counsel</i>, <b>ENTRUST CAPITAL</b> Noah Greenhill, <i>General Counsel</i>, <b>JP MORGAN ASSET MANAGEMENT</b> Brian Guzman, <i>General Counsel</i>, <b>INDUS CAPITAL PARTNERS</b> Brendan Kalb, <i>General Counsel</i>, <b>AQR CAPITAL</b> Kathy Choi, <i>General Counsel</i>, <b>HIGHBRIDGE CAPITAL</b></p> <p style="text-align: center;"><b>**** CLOSED TO THE PRESS **** **</b></p>



<b>12:00pm-1:00pm</b>	<b>Lunch &amp; Networking</b> Location: 8 <sup>th</sup> Floor, Room 801	
<b>1:00pm-1:45pm</b>	<b>Best Practice for Corporate Governance &amp; Director Disputes</b> Location: 7 <sup>th</sup> Floor, Room 701	
	<p>The workload demand for fund directors has increased. For instance directors are no longer just used for tax considerations but to provide investors with an independent check and balance on managers in more difficult times. This session will ask the key questions on:</p> <ul style="list-style-type: none"> <li>• What are the main duties owed by Fund Directors?</li> <li>• How is the role of the Independent Director changing?</li> <li>• What should board meetings cover?</li> <li>• How can fund managers, directors and service providers work together to prevent exposure?</li> </ul> <p><b>Moderated by:</b> Martin Seidel, <i>Partner</i>, CADWALADER, WICKERSHAM &amp; TAFT LLP</p> <p><b>Panel:</b>            Scott P. Lennon, <i>Managing Director</i>, 19 DEGREES NORTH FUND SERVICES LTD            Kerri Ann Law, <i>Partner</i>, KRAMER LEVIN, NAFTALIS &amp; FRANKEL            William Woolverton, <i>General Counsel</i>, GOTTEX FUND MANAGEMENT            Marc Baum, <i>General Counsel</i>, SERENGETI ASSET MANAGEMENT</p>	
<b>1:45pm-2:30pm</b>	<b>The Valuation Minefield: Independence, Accuracy and Litigation</b> Location: 7 <sup>th</sup> Floor, Room 701	
	<p><b>Moderated by:</b> Gene Deetz, <i>Managing Director</i>, NAVIGANT</p> <p><b>Panel:</b>            Mukesh Bajaj, Ph.D, <i>Managing Director &amp; Global Head of the Securities &amp; Finance Practice</i>, Navigant Economics, LLC            Basil Godellas, <i>Partner</i>, Winston &amp; Strawn, LLP            Howard Lipper, <i>Executive Director</i>, Morgan Stanley Fund Services</p>	
<b>2:30pm-3:00pm</b>	<b>Break / Coffee &amp; Networking</b> Location: 7 <sup>th</sup> Floor, Foyer	
<b>3:00pm-4:00pm</b>	<b>Best Practices Sessions</b>	
	<p><b>TRACK 1</b>  <b>Location: 8<sup>th</sup> Floor, Room 802</b></p> <p><b>Chair:</b> Tom Sporkin, <i>Partner</i>,  <b>BUCKLEY SANDLER LLP</b></p>	<p><b>TRACK 2</b>  <b>Location: 8<sup>th</sup> Floor, Room 803</b></p> <p><b>Chair:</b> Jeremy Walton, <i>Head of Litigation &amp; Insolvency</i>, APPLEBY</p>
	<p><b>3:00pm Enforcement</b></p> <ul style="list-style-type: none"> <li>• The growing role of specialized units within the enforcement division</li> <li>• Recent hedge fund enforcement actions from the CFTC</li> <li>• What should funds do? Practical valuation methodologies to identify gaps in compliance programs</li> </ul> <p><b>Moderated by:</b> Tom Sporkin, <i>Partner</i>, BUCKLEY SANDLER LLP</p> <p><b>Panel:</b>            James McGovern, <i>Assistant United States Attorney</i>, UNITED STATES ATTORNEY'S OFFICE            Nina Beattie <i>Partner</i>, BRUNE &amp; RICHARD</p>	<p><b>3:00pm Trouble's a Brewing – Now What?</b></p> <ul style="list-style-type: none"> <li>• Strategies for redemptions and realizing your investments</li> <li>• What do the investors think – investor committees?</li> <li>• Options to replace the manager</li> <li>• Appointing a Liquidator?</li> <li>• Recent case roundup</li> </ul> <p><b>Moderated by:</b> Jeremy Walton, <i>Head of Litigation &amp; Insolvency</i>, APPLEBY</p> <p><b>Panel:</b>            Amy Lai, <i>Co-President</i>, Edex Recovery Solutions            Max Rijkenberg, <i>Legal Counsel</i>, PAAMCO            Michael Pearson, <i>Founder</i>, FUND FIDUCIARY</p>

	Manal Sultan, <i>Deputy Director- Division of Enforcement, US CFTC</i> Brad Bondi, <i>Partner, CADWALADER, WICKERSHAM &amp; TAFT LLP</i>	<b>PARTNERS</b> Kenneth M. KryS, <i>Founder &amp; CEO, KRyS Global</i>
<b>4:00pm-5:00pm</b>	<b>Best Practices Sessions</b>	
	<p><b>TRACK 1</b> <b>Location: 8<sup>th</sup> Floor, Room 802</b></p> <p><b>Chair:</b> Tom Sporkin, <i>Partner, BUCKLEY SANDLER LLP</i></p>	<p><b>TRACK 2</b> <b>Location: 8<sup>th</sup> Floor, Room 803</b></p> <p><b>Chair:</b> Jeremy Walton, <i>Head of Litigation &amp; Insolvency, APPLEBY</i></p>
	<p><b>4:00pm Best Practice Insider Trading Compliance</b></p> <ul style="list-style-type: none"> <li>• Proper controls - How does a firm limit its risks?</li> <li>• Key strategies in connection with regulatory defense</li> </ul> <p><b>Moderated by:</b> Brad Bondi, <i>Partner, CADWALADER, WICKERSHAM &amp; TAFT LLP</i></p> <p><b>Panel:</b> D. Forest Wolfe, <i>General Counsel &amp; Chief Compliance Officer, ANGELO, GORDON &amp; CO., L.P.</i> John P. Hornbostel, <i>Senior Managing Director &amp; General Counsel, K2 ADVISORS</i> Douglas Hirsch, <i>Partner, SADIS &amp; GOLDBERG</i> Jonathan Streeter, <i>Partner, DECHERT LLP</i></p>	<p><b>4:00pm Asset Safeguarding &amp; Recovery</b></p> <p>This session will bring together different organizations involved in the asset recovery process to discuss, identify and clarify the roles and responsibilities. Key issues include:</p> <ul style="list-style-type: none"> <li>• The importance of securities class action settlements in asset recovery</li> <li>• Partnering with a third party firm to manage your class action filings</li> <li>• Fulfilling your fiduciary duty to your investors</li> <li>• Moving past recent financial institution insolvencies</li> <li>• Considerations when negotiating account agreements</li> </ul> <p><b>Moderated by:</b> Drew Lambert, <i>Managing Director, CYPRESS SETTLEMENTS</i></p> <p><b>Panel:</b> J.R. Lederer, <i>Associate General Counsel DIAMONDBACK ADVISORS CT, LLC</i> Christopher D. Chimicles, <i>President, ANGEION GROUP</i> Heather Wyckoff, <i>Associate, HAYNES &amp; BOONE</i></p>
<b>5:00pm</b>	<b>Drinks Reception</b> <b>Location: 7<sup>th</sup> Floor, Lounge</b>	
<b>7:00pm</b>	<b>Close of Conference</b>	

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